

Form PTO-1449 (modified)	Atty. Docket No. Serial No. 10/684 WMS-028 Unassigned			
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Larry J. Pacey			
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xam. Init.	Ref. Des.	Document Number	Date	Name		Class	Sub Class	Filing Date if App.
197/	A01	6,186,894 B1	02/13/2001	Mayeroff		463	20	07/08/1998
	A02	6,309,300 B1	10/30/2001	Glavich		463	26	05/04/2000
1 1	A03	6,311,976 B1	11/06/2001	Yoseloff et al.		273	138.2	09/01/2000
1	A04	2002/0025848 A1	02/28/2002	Graham et al.		463	25	03/29/2001
	A05	2002/0045475 A1	04/18/2002	Glavich et al.		463	20	10/15/2001
-	A06	6,439,995 B1	08/27/2002	Hughs-Baird et a	ıl.	463	20	09/07/2000
	A07	2002/0155880 A1	10/24/2002	Glavich et al.		463	20	03/14/2002
	A08	6,506,118 B1	01/14/2003	Baerlocher et al.		463	25	08/24/2001
	A09	2003/0013519 A1	01/16/2003	Bennett		463	25	01/18/2001
	A10	6,514,141 B1	02/04/2003	Kaminkow et al.		463	25	10/06/2000
	A11	2003/0036423 A1	02/20/2003	Vancura		463	20	06/03/2002
	A12	2003/0036424 A1	02/20/2003	Baerlocher		463	25	05/31/2002
+	A13	2003/0040355 A1	02/27/2003	Baerlocher		463	20	08/24/2001
	A14	2003/0040360 A1	02/27/2003	Kaminkow		463	25	09/13/2002
_	A15	2003/0045343 A1	03/06/2003	Luccesi et al.		463	20	08/31/2001
_	A16	2003/0045344 A1	03/06/2003	Webb et al.		463	20	08/31/2001
_	A17	2003/0045348 A1	03/06/2003	Palmer et al.		463	25	08/31/2001
	A18	2003/0045349 A1	03/06/2003	Hughs-Baird		463	25	08/20/2002
1911	A19	2003/0045350 A1	03/06/2003	Baerlocher et al	l	463	25	09/11/2002
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EXAMINER: William O Kelilla	DATE CONSIDERED: 6/19/07
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT C	ITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH DPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. WMS-028 Serial No. 10 Seria				
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Larry J. Pacey				
Page 2 of 3	Filing Date: October 10, 2003	Group: Unassigned			

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Pu	A20	2003/0054876 A1	03/20/2003	Hughs-Baird et a	ıl.	463	20	07/15/2002
	A21	2003/0060253 A1	03/27/2003	Kaminkow et al.		463	16	09/26/2001
	A22	2003/0060254 A1	03/27/2003	Cuddy et al.		463	16	09/26/2001
	A23	2003/0060255 A1	03/27/2003	Baerlocher et al.		463	16	09/26/2001
	A24	2003/0060272 A1	03/27/2003	Glavich et al.		463	25	09/21/2001
	A25	2003/0060275 A1	03/27/2003	Hughs-Baird		463	25	09/21/2001
1	A26	2003/0060277 A1	03/27/2003	Webb et al.		463	25	09/03/2002
	A27	2003/0064777 A1	04/03/2003	Baerlocher et al		463	16	11/06/2002
-	A28	2003/0073477 A1	04/17/2003	Baerlocher et al		463	16	10/15/2001
_	A29	2003/0073482 A1	04/17/2003	Baerlocher et al		463	20	10/15/2001
	A30	2003/0073483 A1	04/17/2003	Glavich et al.		463	20	02/08/2002
_	A31	2003/0078093 A1	04/24/2003	Simms et al.		463	20	09/26/2002
_	A32	2003/0078096 A1	04/24/2003	Kaminkow et al		463	25	11/27/2002
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	A36	6,569,016 B1	05/27/2003	Baerlocher		463	16	10/04/2000
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DU	A38	6,582,306 B1	06/24/2003	Kaminkow		463	20	07/27/2000
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EXAMINER:	William !	O the Cult and		DATE CONSIDERED:	6/19/	107
EXAMINER: IN	ITIAL IF REFERENCE CO	INSIDERED, WHETHER OR	NOT CITA	ATION IS IN CONFORMANCE WITH Y OF THIS FORM WITH NEXT COM	MPEP609; DR	AW LINE THROUGH

Form PTO-1449 (modified)	Atty. Docket No. WMS-028 Applicant Larry J. Pacey			
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT Page 3 of 3				
	Filing Date: October 10, 2003	Group: Unassigned		

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111	A39	6,595,854 B2	07/22/2003	Hughs-Baird et	al. 463	20	07/15/2002
APC	A40	6,599,185 B1	07/29/2003	Kaminkow et al	. 463	16	10/16/2000
-	A41	6,599,192 B1	07/29/2003	Baerlocher et al	. 463	25	10/16/2000
1	A42	6,602,135 B1	08/05/2003	Gerrard	463	16	10/06/2000
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-	A44	6,605,002 B2	08/12/2003	Baerlocher	463	25	09/20/2001
-	A45	2003/0153378 A1	08/14/2003	Schlegel et al.	. 463	16	02/08/2002
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	<u>.l.</u>	F	oreign Pa	atent Docu	ments		
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Exam Init.	Ref. Des.			Citation			

EXAMINER: William Willelale DATE CONSIDERED: 6/19/07
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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Applicants:

Pacey

Application No.:

10/684,353

Publication No.:

US 2005-0079908 A1

Filed:

October 10, 2003

Publication Date:

April 14, 2005

Title:

GAMING MACHINE HAVING AN AWARD-TRADING

SCHEME

Group Art Unit:

3713

Examiner:

John M. Hotaling

THIRD-PARTY SUBMISSION OF PRIOR ART IN A PUBLISHED APPLICATION PER 37 C.F.R. § 1.99

To Whom It May Concern:

We submit the following patents or publications for consideration by the Office:

White

- U.S. Patent No. 5,816,918, issued October 6, 1998 to Kelly et al.;
- U.S. Patent No. 6,186,893 B1, issued February 13, 2001 to Walker et al.;
- U.S. Patent Publication No. 2002-0177479 A1, published November 28, 2002 to Walker et al.;
- U.S. Patent No. 6,623,357 B2, issued September 23, 2003 to Chowdhury;
- U.S. Patent Publication No. 2003-0199320 A1, published October 23, 2003 to Nguyen et al.; and
- U.S. Patent No. 6,848,995, issued February 1, 2005 to Walker et al.

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Enclosed is:

- A money order for \$180.00, which includes
 - o the fee under 1.17(p) (\$180), and
- Clean copies of all patents / publications listed above
- A self-addressed postcard to acknowledge receipt

In accordance with 37 C.F.R. § 1.248(a)(4), a copy of this submission was transmitted on June 17, 2005 via first class mail to the Applicant's attorney or agent at the following correspondence address listed on the published application:

1 tellian Welilled 6/19/07

BEYER WEAVER & THOMAS LLP P.O. Box 778 Berkeley, CA 94704-0778

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